

8-19-04

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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/816,014	04/01/2004	Raymond B. Ryan	Ryan-P1-04

CONFIRMATION NO. 9572

28710
 PETER K. TRZYNA, ESQ.
 P O BOX 7131
 CHICAGO, IL 60680

FORMALITIES LETTER



OC000000012989224

Date Mailed: 06/18/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted***Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Replacement drawings in compliance with 37 CFR 1.84 and 37 CFR 1.121 are required. The drawings submitted are not acceptable because:
 - The drawings have a line quality that is too light to be reproduced (weight of all lines and letters must be heavy enough to permit adequate reproduction) or text that is illegible (reference characters, sheet numbers, and view numbers must be plain and legible) see 37 CFR 1.84(l) and (p)(1)); See Figure(s) 2, 6I, 6K.
 - More than one figure is present and each figure is not labeled "Fig." with a consecutive Arabic numeral (1, 2, etc.) or an Arabic numeral and capital letter in the English alphabet (A, B, etc.)(see 37 CFR 1.84(u)(1)). See Figure(s) 3A1 and 3F as described in the specification. However, Fig. 3A and 3E was submitted upon filing..

Replies should be mailed to: Mail Stop Missing Parts
 Commissioner for Patents

P.O. Box 1450
Alexandria VA 22313-1450

A copy of this notice MUST be returned with the reply.

B. To

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



Express Mail mailing label number: ER182437098US

I, Peter K. Trzyna (Reg. No. 32, 601), hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" Service under 37 CFR 1.10 on the date indicated below and is addressed to MS: Missing Parts, Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date set forth below:

PATENT

Paper No.

File: Ryan -P1-04

Date: August 18, 2004

Signed: 
Peter K. Trzyna (Reg. No. 32,601)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor	:	Raymond B. Ryan
Serial No.	:	10/816,014
Filed	:	April 1, 2004
For	:	EQUITY BASED INCENTIVE COMPENSATION PLAN COMPUTER SYSTEM
Group Art Unit	:	3624
Examiner	:	

Assistant Commissioner of Patents
Washington, D.C. 20231

TRANSMITTAL LETTER

S I R :

Transmitted herewith for filing in the above-identified patent application is the following:

1. Preliminary Amendment;
2. Notice to File Missing Parts of Nonprovisional Application;
3. Substitute Specification Pages 25-40;
4. Response to Notice to File Missing Parts of Nonprovisional Application;
5. Combined Declaration and Power of Attorney;
6. Submission of Formal Drawings; and

7. Formal Drawings, Figs. 1-7D, sheets 1-26.

APPLICANT CLAIMS SMALL ENTITY STATUS. The Commissioner is hereby authorized to charge any fees associated with the above-identified patent application or credit any overcharges to Deposit Account No. 50-0235.

Please direct all correspondence to the undersigned at the address given below.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'Peter K. Trzyna', is written over a horizontal line.

Peter K. Trzyna
(Reg. No. 32,601)

Date: August 18, 2004

P.O. Box 7131
Chicago, IL 60680
(312) 240-0824



Express Mail mailing label number: ER182437098US
I, Peter K. Trzyna (Reg. No. 32, 601), hereby certify that this
paper or fee is being deposited with the United States Postal
Service "Express Mail Post Office to Addressee" Service
under 37 CFR 1.10 on the date indicated below and is
addressed to MS: Missing Parts, Commissioner of Patents,
P.O. Box 1450, Alexandria, VA 22313-1450 on the date set forth below:

PATENT

Paper No.

File: Ryan -P1-04

Date: August 18, 2004

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Group Art Unit	:	3624
Examiner	:	

The Commissioner of Patents
and Trademarks
Washington, D.C. 20231

**RESPONSE TO NOTICE TO FILE MISSING PARTS
OF NONPROVISIONAL APPLICATION**

S I R :

According to the Notice to File Missing Parts of Nonprovisional Application
mailed June 18, 2004, the above-identified application was filed without a Declaration and
Power of Attorney. Please enter the enclosed Combined Declaration and Power of Attorney in
the above-identified matter.

The paper mailed June 18, 2004, also states that the drawings filed are
unacceptable, and replacement drawings are required. Please enter the enclosed formal
drawings, Figs. 1-7D, Sheets 1-26. Figs. 3B1 and 3B2 of the original drawings are now on the

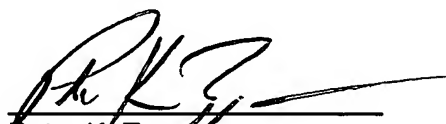
same sheet. A Preliminary Amendment is also enclosed. It is believed that no new matter has been added.

Applicant claims small entity status. The Commissioner is hereby authorized to charge any fees associated with the above-identified patent application or credit any overcharges to Deposit Account No. 50-0235.

Please direct all correspondence to the undersigned at the address given below.

Respectfully submitted,

Date: August 18, 2004


Peter K. Trzyna
(Reg. No. 32,601)

P.O. Box 7131
Chicago, IL 60680-7131


(312) 240-0824

III. FEE

APPLICANT CLAIMS SMALL ENTITY STATUS. The Commissioner is hereby authorized to charge any fees associated with the above-identified patent application or credit any overcharges to Deposit Account No. 50-0235. Additionally, the Examiner is invited to contact the undersigned at (312) 240-0824 if it can in any way expedite or ease the handling of this case. Please direct all correspondence to the undersigned at the address given below.

Respectfully submitted,

Date: August 18, 2004


Peter K. Trzyna
(Reg. No. 32,601)

P.O. Box 7131
Chicago, IL 60680-7131
(312) 240-0824



Express Mail mailing label number: ER182437098US
I, Peter K. Trzyna (Reg. No. 32, 601), hereby certify that this
paper or fee is being deposited with the United States Postal
Service "Express Mail Post Office to Addressee" Service
under 37 CFR 1.10 on the date indicated below and is
addressed to MS: Missing Parts, Commissioner of Patents,
P.O. Box 1450, Alexandria, VA 22313-1450 on the date set forth below:
Date: August 18, 2004

Signed: 
Peter K. Trzyna (Reg. No. 32,601)

PATENT

Paper No.

File: Ryan -P1-04

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor	:	Raymond B. Ryan
Serial No.	:	10/816,014
Filed	:	April 1, 2004
For	:	EQUITY BASED INCENTIVE COMPENSATION PLAN COMPUTER SYSTEM
Group Art Unit	:	3624
Examiner	:	

Honorable Commissioner of Patents and Trademarks
Washington, D.C. 20231

SUBMISSION OF FORMAL DRAWINGS

S I R :

Please enter the enclosed formal drawings Figs. 1-7D, sheets 1-26 in the above-
identified application.

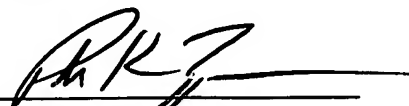
The Commissioner is hereby authorized to charge any fees associated with the
above-identified patent application or credit any overcharges to Deposit Account No. 50-0235.

Please direct all correspondence to the undersigned at the address given below.

Respectfully submitted,

Date: August 18, 2004

P.O. Box 7131
Chicago, IL 60680-7131
(312) 240-0824


Peter K. Trzyna
(Reg. No. 32,601)